



ATTORNEY DOCKET No.: 2003390-0013 (Robic 004807-0012)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

MAUCHER et al.

Examiner:

NYA

Serial No:

10/542,113

Group Art Unit:

NYA

Filing Date:

July 13, 2005

Title:

METHODS AND COMPOSITIONS FOR PRODUCING MALE STERILE

PLANTS

Certificate of Mailing

Commissioner for Patents

P.O. Box 1450

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January 17, 2006

Date

Signature () Qual

Typed or Printed Name of person signing

TRANSMITTAL LETTER

Enclosed for filing in the above-referenced patent application please find the following documents:

- 1. Statement Filed Pursuant to the Duty of Disclosure Under 37 CFR §§1.56, 1.97 and 1.98 (6 pages);
- 2. Form PTO-1449 (2 pages);
- 3. Cited Art (16 References); and
- 4. Return-Receipt Postcard.

Respectfully submitted,

CHOATE, HALL & STEWART LLP

Dated: January 17, 2006

Charles E. Lyon, D.Phil.

Registration Number 56,630

PATENT DEPARTMENT CHOATE, HALL & STEWART LLP Two International Place Boston, Massachusetts 02110

Tel: (617) 248-5000 Fax: (617) 248-4000

Page 1 of 6

Attorney Docket:2003390-0013 Client Reference: Florisys/004807/0012

U.S.S.N. 10/542,113 4033257_1.DOC



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STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants request consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- An original Information Disclosure Statement; or [X]
- A supplemental Information Disclosure Statement.

Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
 - Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

	[] W	ithin thi	ree months of the date of entry of the national stage as set forth
		in § 1 .	491 in an international application;
	[X]	Before	e the mailing of a first Office action on the merits; or
	[]	Before	e the mailing of a first Office action after the filing of a request for
		contin	nued examination under § 1.114.
[]	Pursu	ant to 3	7 CFR § 1.97(c) after the dates listed above but before the mailing
	date c	of any of	f a final action under § 1.113, a notice of allowance under § 1.311, or
	an act	ion that	otherwise closes prosecution in the application; Applicant hereby
	either	::	
	[]	Certif	ies that either:
		[]	each item of information contained in the information disclosure
			statement was first cited in any communication from a foreign
			patent office in a counterpart foreign application not more than
			three months prior to the filing of the information disclosure
			statement; or
		[]	That no item of information contained in the information
			disclosure statement was cited in a communication from a foreign
			patent office in a counterpart foreign application, and, to the
			knowledge of the person signing the certification after making
			reasonable inquiry, no item of information contained in the
			information disclosure statement was known to any individual
			designated in § 1.56(c) more than three months prior to the filing of
			the information disclosure statement.; or

	[]	Includ	des herewith the fee set forth in § 1.17(p).					
[]	Pursu	ant to 3	7 CFR § 1.97(d), after the mailing date of any final action under					
	§ 1.11	3, a not	ice of allowance under § 1.311, or an action that otherwise closes					
	prose	cution in	n the application; Applicant hereby both:					
	[]] Certifies that either:						
		[]	each item of information contained in the information disclosure					
			statement was first cited in any communication from a foreign					
			patent office in a counterpart foreign application not more than					
			three months prior to the filing of the information disclosure					
			statement; or					
		[]	That no item of information contained in the information					
			disclosure statement was cited in a communication from a foreign					
			patent office in a counterpart foreign application, and, to the					
			knowledge of the person signing the certification after making					
			reasonable inquiry, no item of information contained in the					
			information disclosure statement was known to any individual					
			designated in § 1.56(c) more than three months prior to the filing of					
			the information disclosure statement.; and					
	[]	Includ	des herewith the fee set forth in § 1.17(p).					

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

- [X] A copy of each cited reference not indicated with a * is included, except for those for which the requirement is waived pursuant to USPTO OG Notice of 8/5/03;
- Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(d) because they were previously provided to the United States Patent Office in an Information Disclosure Statement that complies with 37 CFR § 1.98(a)-(c) and was submitted in the following patent application that is relied upon in the present case for an earlier effective filing date under 35 USC § 120:

Serial Number	Filing Date	Status

[] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the aboveidentified application:

Applicant certifies that the Information Disclosure Statement either:

[] Does not contain non-English language citations;

[X] Does contain non-English language citations, of which the following is a concise

explanation: English language Abstract included with patent copy.

[] Includes one or more translations of a non-English citation.

Remarks

The submission of this Information Disclosure Statement should not be construed as a

representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an

admission that the information cited in the statement is, or is considered to be, material to

patentability as defined in § 1.56(b).

The submission of this Information Disclosure Statement shall not be construed as a

representation that the information cited in the Statement is, or is considered to be, in fact, prior

art as defined by 35 U.S.C. §102.

It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other

information, in reaching a determination concerning the patentability of the present claims;

2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the

cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark

Office during the examination of this application; and

3. The citations for the patent(s) and publication(s) be printed on any patent which

issues from this application.

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Attorney Docket:2003390-0013 Client Reference: Florisys/004807/0012

U.S.S.N. 10/542,113 3790048v1 Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

Respectfully submitted, CHOATE, HALL & STEWART LLP

Dated: January it, 2006

Charles E. Lyon, D.Phil. Registration Number 56,630

PATENT DEPARTMENT CHOATE, HALL & STEWART LLP Two International Place Boston, Massachusetts 02110

Tel: (617) 248-5000 Fax: (617) 248-4000



PTO/SB/08A (08-03)

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Contradition A				Complete if Known			
Substitute	for form 1449/PTC	,		Application Number	10/542,113		
INFO		N DISCLO	SUIDE	Filing Date	July 13 th , 2005		
				First Named Inventor	MAUCHER, Helmut		
SIAI		BY APPL		Art Unit	Not yet assigned		
	(Use as man	y sheets as nece	ssary)	Examiner Name	Not yet assigned		
Sheet 1 of 2		Attorney Docket Number	004807-0012				

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US-5814581	09-29-1998	HIRAKAWA, Shin-Ichi et al.				
		US-5935809	08-10-1999	CLARENCE A, Ryan Jr.				
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Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)			о, то, от то, то, то, то, то, то, то, то	T⁵	
		WO 97/10703 A	03-27-1997	BROWSE, John et al.			
		WO 01/02589 A	01-11-2001	VARIN, Luc et al.			
-	i -	JP 2-92220	04-03-1990	TAZAKI, Hiroyuki			
	ļ					┢──	

Examiner	Date .
Signature	Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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0	for form 4440/DTO			Complete if Known		
Substitute	for form 1449/PTO			Application Number	10/542,113	
INFO	RMATION	DISC	LOSURE	Filing Date	July 13 th , 2005	
STATEMENT BY APPLICANT				First Named Inventor	MAUCHER, Helmut	
ļ	(Use as many shee	ts as nec	essary)	Art Unit	Not yet assigned .	
į	,			Examiner Name	Not yet assigned	
Sheet	Sheet 2 of 2		Attorney Docket Number	004807-0012		

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item	Г
Examiner Initials*			7
		PARK, JOON-HYUN ET AL., The Plant Journal, vol. 31, no.1, July 2002 (2002-07), pages 1-12	Γ
		ZHAO, DAZHONG ET AL., Current Biology, vol. 10, no 24, 2000, pages R904-R907	Γ
		GIDDA, KAUR, SATINDER ET AL., The Journal of Biological Chemistry, vol. 278, no 20, May 16 th , 2003, pages 17895-17900	Ī
		WASTERNACK AND HAUSE, (2002) "Prog Nucleic Acid Res Mol Biol", 72:165-221	Ī
		FEYS ET AL., (1994) "Plant Cell", 6:751-759 .	Ī
		LI ET AL., (2001) "Plant Physiol", 127 :1414-1417	ľ
		MIERSCH ET AL., (1998) "Phytochemistry", 47:327-329	
		YOSHIHARA ET AL., (1989) "Agric., Biol., Chem.", 53:2835-2837	Γ
		MATSUKI ET AL., (1992), "Biosci, Biotech, Biochem", 56:1329	ſ
		KODA AND OKAZAWA, (1988) "'Plant Cell Physiol", 29:969	Ì
		AN, ET AL., (1988) Binary vectors. In Plant Molecular Biology Manual, eds. S.B.Gelvin, and R.A.Schilperoort, A3/1-18. Martinus Nijhoff Publishers.	
		HORSCH, R.B. ET AL., (1984) Science, Vol. 227, 1229-1231	
	-	SAMBROOK ET AL., (1989) <i>Molecular Cloning: A Laboratory Manual</i> , 2nd edn. Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY.	
		MANIATIS ET AL., (1982). Molecular Cloning, A Laboratory Manual. Cold Spring Harbor Laboratory, Cold Spring Harbor, New York, pp. 387-389	ſ

	 	
Examiner	Date	
Signature	Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

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Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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